

The general case for Coset Enumeration:

$$N \triangleleft F; \quad G = F/N, \quad K < G$$

↖ finitely generated

$$p: F \rightarrow F/N$$

Let  $H = p^{-1}(K)$  ( $H$  is clearly a subgroup and  $N < H$ ).

Note:  $[F:H] = [F/N:K]$

However: Even if  $K$  is finitely generated,  $H$  doesn't have to be!

Still, we can write  $H = \langle N, U \rangle$  for some finite set  $U \subset F$ .

If  $N = \langle\langle U \rangle\rangle \leftarrow$  normal closure of a finite set  $U$

$\Rightarrow (U, U)$  provides a finite description of  $H$ .

Proposition: Suppose that  $H$  is finitely generated and  $[F:H] = \infty$ . Then  $H$  contains no non-trivial normal subgroup of  $F$ .

□

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If  $[F:H] < \infty \Rightarrow H$  is f.g. # Schreier generators

Since  $N < H \Rightarrow$  either  $[F:H] < \infty$ , or  $H$  is not f.g.

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Let finite  $U, V \subseteq X^*$  be given

$$H = \langle [u] : u \in U \rangle, \{ [v\sigma v^{-1}] : \sigma \in X^*, v \in V \}.$$

Global assumption:

- $u \in U$  is freely reduced ( $u \in \mathcal{C}$ )
- $v \in V$  is cyclically reduced (all cyclic permutations of  $v$  are in  $\mathcal{C}$ ).

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Question: Is it possible to decide if the index of  $H$  in  $F$  is finite?

If it were, we'd set  $U = \emptyset$ ,  $H = N$

$\Rightarrow [F:H]$  is the order of f.p.  $G$

$\Rightarrow$  we'd be able to decide whether  $G$  is finite

$\Rightarrow$  but that is an algorithmically undecidable

i.e. we can only verify that  $[F:H] < \infty$  (and compute it), or our algorithm will not stop.

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Definition:  $A$ -coset automaton is compatible with  $V$  iff

$$\text{trace}(A, v, \sigma) = \sigma \quad \text{for all } \sigma \in \Sigma, v \in V$$

Proposition: Let  $L < F$ ,  $N < F$ ,  $N = \langle\langle V \rangle\rangle$ .

then  $N \subseteq L$  iff  $\sigma[v]\sigma$  for every coset  $\sigma \in F/L$  and every  $v \in V$ .

Proof:

$X \subseteq L$  iff  $L$  contains all conjugates of  $[w]$  where  $v \in V$ .

Let  $w \in X^*$ ,  $v \in V$  then

$[w][v][w]^{-1} \in L$  iff  $L[w][v][w]^{-1} = L$ , or

$$\underbrace{L[w][v]}_v = \underbrace{L[w]}_v$$

□

Corollary / Restatement:

$X \subseteq L$  iff  $\text{trace}(\mathcal{A}_g(L), v, \sigma) = |v|, \sigma$  for all  $v \in V$  & all  $\sigma \in \mathcal{A}_g(L)$

iff  $\mathcal{A}_g(L)$  is compatible with  $V$ .

Example:

$F = \text{Free Grp} \langle \{a, b\} \rangle$

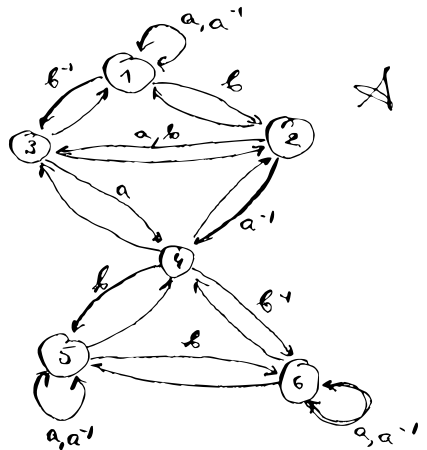
$L = K(\mathcal{A})$  has index 6 in  $F$ ,  
and  $\mathcal{A} = \mathcal{A}_I(L) = \mathcal{A}_g(L)$ .

Let  $V = \{ \underbrace{abab}_v \}$

$\text{trace}(\mathcal{A}, v, 1) = 1$

$\text{trace}(\mathcal{A}, v, 2) = 2$

$\text{trace}(\mathcal{A}, v, 3) = 6$



$\Rightarrow X = \langle\langle (ab)^k \rangle\rangle \not\subseteq L$ .

$b^{-1} \circ b \in X$  but  $b^{-1} \circ b \notin L$ .

Assume  $[F:H]$  is finite.

(which means that  $A_I(H) = A_{\mathfrak{A}}(H)$ )

Since  $H$  is f.g. (Scheier generators!)

there exists a finite

$$W' \subset W = U \cup \{sos^{-1} : s \in X^+, v \in U\}$$

$$\text{s.t. } H = \text{Ggp}\langle \{w\} : w \in W' \rangle.$$

Suppose that we exhaust  $w$  by finite sets  $W_i$

$$\bullet U \subseteq W_1 \subseteq W_2 \subseteq \dots \subseteq W_n \subseteq \dots \subseteq W$$

$$\bullet \bigcup_i W_i = W$$

Since  $W' \subset W_i$  for sufficiently large  $i$  and

for  $L_i = \text{Ggp}\langle \{w\} : w \in W_i \rangle$  we have  $H = L_i$ .

If we compute  $A_I(L_1), A_I(L_2), \dots$  at some point  $A_i$  will be complete and compatible with  $\mathcal{V}$ . Then we know that  $H = L_i$ .

[If  $[F:H] = \infty$  we will be computing  $A_I(L_i)$  forever].

Algorithm: coset-enumeration-naive

Input:

- $X$  - alphabet with Inverses
- $U$  - set of words over  $X^*$
- $V$  - —————

Output:

- $A$  - important coset automaton for  $H = \text{Grp} \langle U, \langle\langle V \rangle\rangle \rangle$

begin

$i = 0$

while true

$T = U \cup \{ s v s^{-1} : s \in X^*, v \in V, |s| \leq i \}$

$A = \text{coset-enumeration}(X, T)$

if  $A$  is complete and compatible with  $V$

return  $A$

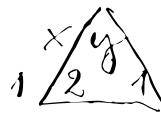
end

$i += 1$

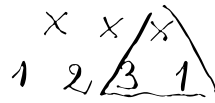
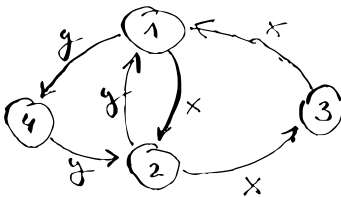
end

end

Ex:  $X = \{x^{-1}, y^{-1}\}$ ;  $U = \{xy\}$ ,  $V = \{x^3, y^3, (xy)^3, (xy^{-1})^3\}$



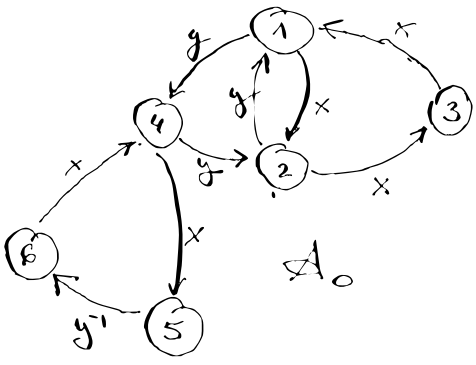
call  $\text{join}!(A, 2, 1, y)$



$\text{join}!(A, 3, 1, x)$



$\text{join}!(A, 4, 2, y)$



$$x^{-1}y^2, (xy)^3, (xy^{-1})^3$$

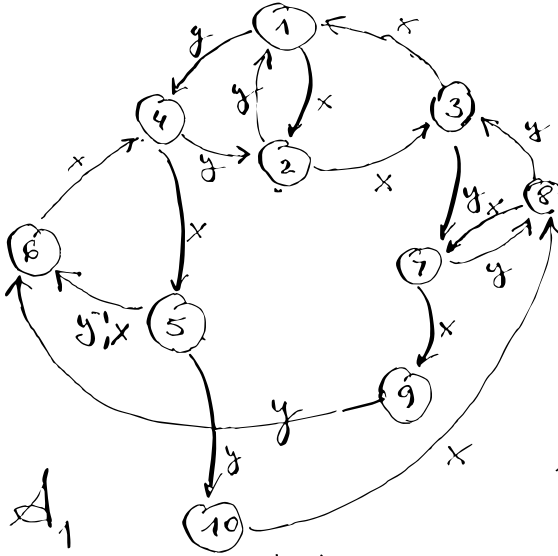
$(xy)^3$  does not change  $\Delta$

$$xy^{-1}xy^{-1}xy^{-1}$$

1	2	4	5	6	4	1
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$\Delta_0$  - not complete we continue with  $\Delta_0$  tracings

$$xy^3x^{-1}, x^{-1}y^3x, yx^3y^{-1}, y^{-1}x^3y, x(xy)^3x^{-1}, x^{-1}(xy)^3x^{-1}, y(xy)^3y^{-1}, y^{-1}(xy)^3y$$



$$xyyyx^{-1}$$

1	2	1	4	2	1
---	---	---	---	---	---

$$x^{-1}y/y/yx$$

1	3	7	8	3	1
---	---	---	---	---	---

$$yxxxxy^{-1}$$

1	4	5	9	4	1
---	---	---	---	---	---

+ call to coincidence

$$y^{-1}xxxxy$$

1	2	3	1	2	1
---	---	---	---	---	---

$\Delta_1$

$$xxxx^{-1}y^{-1}xy^{-1}xy^{-1}$$

1	2	3	8	11	3	1	2	1
---	---	---	---	----	---	---	---	---

coincidence nodes (8, x, 7)

$$xxxxyxyxyx^{-1}$$

1	2	3	7	9	6	4	2	1
---	---	---	---	---	---	---	---	---

$$x^{-1}xyxyxyx$$

1	3	1	4	5	10	8	3	1
---	---	---	---	---	----	---	---	---

$$yxyxyxyy^{-1}$$

1	4	5	10	8	3	1	4	1
---	---	---	----	---	---	---	---	---

$$y^{-1}xyxyxyy$$

1	2	3	7	9	6	4	2	1
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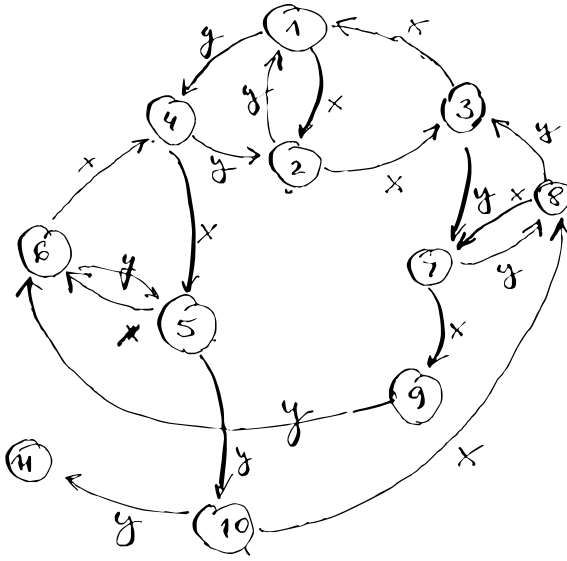
$$x^{-1}xy^{-1}xy^{-1}xy^{-1}x$$
 traces

1	3	1	2	3	8	7	3	1
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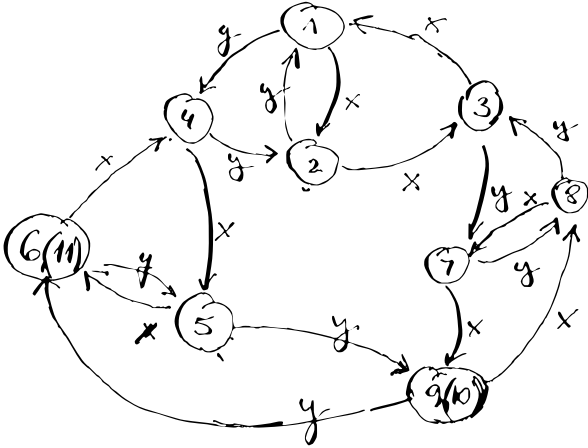
$$y(xy)^3y$$
 traces

$$y^{-1}(xy)^3y$$
 traces

$\Delta_1$  is not complete so we continue...



$xxgygyx^{-1}x^{-1}$  faces  
 $x^{-1}x^{-1}gygyxx$  faces  
 $gygyxxxg^{-1}y^{-1}$  faces  
 $g^{-1}y^{-1}xxxgygy$  faces  
 $xyxxxg^{-1}x^{-1}$  faces  
 $g^{-1}x^{-1}xxxxy$  faces  
 $gygygyx^{-1}y^{-1}$   
 $1451011541$   
 $6$   
 coincidence identifies  
 11 and 6



$\Delta_2$

$$\begin{pmatrix} 11 & y^{-1} & 10 \\ 6 & y^{-1} & 9 \end{pmatrix} \quad 10 \approx 9$$

$xxxygyxyxyx^{-1}x^{-1}$  faces

$x^{-1}x^{-1}xyxyxyxx$  faces

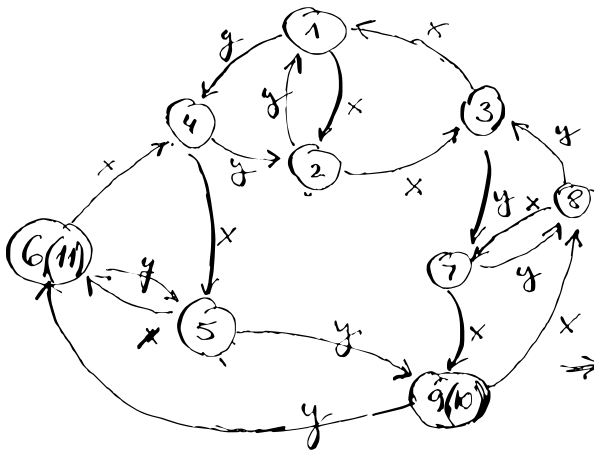
$gyxyxyxyg^{-1}y^{-1}$  faces

$g^{-1}y^{-1}(xy)^3yy$  faces

$xy(xy)^3g^{-1}x^{-1}$  faces

$yx(xy)^3x^{-1}y^{-1}$  faces

$xy^{-1}$   
 $y^{-1}x$  and their inverses as well...



this guy is complete  
and compatible  
with  $\mathcal{U}$ .

$\Rightarrow$  we can compute with cosets of  $H$ !

there are 9 states/cosets  $\Rightarrow [F:H] = [G:K] = 9$

the order of  $K$  is at most 3 since

$$K = \langle xy \rangle < G, \quad (xy)^3 = 1 \text{ in } G.$$

$$F \cong \text{Sym}(A_2)$$

$$x \mapsto (1, 2, 3)(4, 5, 6)(7, 9, 8)$$

$$y \mapsto (1, 4, 2)(3, 7, 8)(5, 9, 6)$$

$$\varphi(xy) = (1)(2, 7, 6)(3, 4, 9)(5)(8)$$

has order 3

hence  $xy$  in  $G$  has order at least 3.

$$\Rightarrow |G| = [G:K] \cdot |K| = 27.$$



Problems with coset enumeration - naive:

- The size of  $\{[s v s^{-1}] : v \in V, |s| < k\}$  grows exponentially with  $k$
  - We throw away each automaton when we start anew
- 

Suppose  $\mathcal{A} = (\Sigma, X, E, \{x\}, \{x\})$  is a coset automaton

If  $\text{trace}(\mathcal{A}, s, x) = \sigma$  and  $\text{trace}(\mathcal{A}, v, \sigma) = \sigma$

then  $[s v s^{-1}] \in K(\mathcal{A})$ .

$\Rightarrow K(\mathcal{A})$  contains all  $[s v s^{-1}]$  for  
 $v \in V, |s| \leq i$  when

- $\sigma$  is traceable in  $\mathcal{A}$
  - $\text{trace}(\mathcal{A}, v, \sigma) = \sigma$  for every state  $\sigma \in \mathcal{A}$  which can be reached from  $x$  by a path of length  $\leq i$ .
-

## General coset enumeration scheme:

- 1)  $\mathcal{A} = \text{CosetSubalgebra}(X)$
- 2) for  $u \in U$  trace-and-reverse!( $\mathcal{A}, u$ )

Execute any sequence of those steps

- pick  $\sigma \in \Sigma, x \in X$   
if !hasedge( $\mathcal{A}, \sigma, x$ )  
define!( $\mathcal{A}, \sigma, x$ )  
end
- pick  $\sigma \in \Sigma, v \in V$   
call trace-and-reverse( $\mathcal{A}, v, \text{define} = \frac{\text{true}}{\text{false}}$ )
- if  $\mathcal{A}$  is complete and compatible with  $V$   
return  $\mathcal{A}$

We want the sequence to satisfy three conditions:

- 1) if termination is possible, then it happens
- 2) either a state is  $\sigma$  deleted from  $\mathcal{A}$ , or
- 3) it becomes complete at some point, and  
 $\text{trace}(\mathcal{A}, v, \sigma) = \sigma$  for all  $v \in V$ .

Proposition: If our general coset enumeration terminates, then  $K(\mathcal{A}) = H$ .

Proof: we begin with  $K(\mathcal{A}) = \langle \text{Gpp}\langle u \rangle : u \in U \rangle < H$ .

- defines don't change  $K(\mathcal{A})$
- trace-and-reverse adds  $[s^{-1}v^{-1}]$  to generators of  $K(\mathcal{A})$   
 $\in H$ .

If we terminate, then

$\mathcal{A}$  is compatible with  $V$ , then  $N < K(\mathcal{A})$

$\Rightarrow K(\mathcal{A}) = H. \square$

Proposition: If  $[F:H] < \infty$  then  
any general coset enumeration terminates.

Proof:

Suppose that  $[F:H]$  is finite, but coset enumeration doesn't terminate.

Claim: for every  $s \in X^*$   $\text{trace}(\mathcal{A}, s, \alpha)$  is eventually successful.

Induction on  $|s|$ :

- i)  $\text{trace}(\mathcal{A}, \varepsilon, \alpha)$  is defined
- ii) suppose that  $\text{trace}(\mathcal{A}, s, \alpha)$  is defined for all  $|s| < n$
- iii) consider  $w = sx, x \in X$ 
  - neither define nor join change  $\rightarrow \sigma = \text{trace}(\mathcal{A}, s, \alpha)$
  - coincidence! may change  $\sigma$ , but only finitely many times
  - afterwards it eventually becomes complete making  $\text{trace}(\mathcal{A}, sx, \alpha)$  successful.

Note: every  $s \in X^*$   $[s\sigma s^{-1}]$  eventually belongs to  $K(\mathcal{A})$

Once  $\sigma = \text{trace}(\mathcal{A}, s, \alpha)$  is successful property 3) implies that  $\text{trace}(\mathcal{A}, \emptyset, \sigma) = \sigma$   
so  $[s\sigma s^{-1}] \in K(\mathcal{A})$ .

Since  $[F:H]$  is finite,  $H$  is finitely generated (Schreier generators!) so eventually

$T_k = U \cup \{[s\sigma s^{-1}] : \sigma \in \mathcal{V}, |s| < k\}$  generates  $H$ .

Then  $K(\mathcal{A}_k) = H$ , thus  $\mathcal{A}_k$  is complete and compatible with  $\mathcal{V}$ , so that we terminate.  $\square$

# HLT (Haselgrove, Leech, Trotter) strategy:

"Define new states as we go"

Algorithm: coset-enumeration-hlt

Input:

- $X$  - alphabet with inverses
- $U$  - set of words over  $X$
- $V$  - \_\_\_\_\_

Output:  $A$  - coset automaton for  $U$  compatible with  $V$  (if terminates:  $A_0(H)$ )

$H = \text{grp} \langle U, \langle\langle V \rangle\rangle \rangle$ .

begin

$A = \text{cosetAutomaton}(X)$

for  $u$  in  $U$

    trace-and-reverse!( $A, u$ )

end

for  $\sigma$  in states( $A$ )

    for  $v \in V$

        trace-and-reverse!( $A, \sigma, v$ )

        if find( $A$ .partition,  $v$ )  $\neq \sigma$

            break

        end

    end

    if find( $A$ .partition,  $v$ ) =  $\sigma$

        for  $x$  in  $X$

            if !hasedge( $A, \sigma, x$ )

                define!( $A, \sigma, x$ )

            end

        end

    end

end

return  $A$

end

## The Felsen strategy.

"As long as we can progress further  
try not to define new states"

- 1) Don't define new states tracing elements of  $V$
- 2) Try to complete states one by one, in the order they were defined, with some fixed order on  $X$

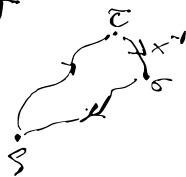
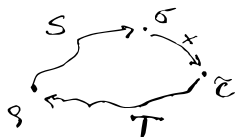
## The idea for implementation:

- use a stack of newly defined edges if  $(\sigma, x, \tau)$  was recently added

then the only unsuccessful two-sided traces that may be completed are

where  $v = S \times T$  and

$$\text{trace}(A, S, \varrho) = \sigma.$$



•  $v = C \times D$  and

$$\text{trace}(A, D, \sigma) = \varrho$$

// for some  $\varrho$ .

- instead of tracing  $S \times T$  from  $\varrho$  it's enough to trace  $xTS$  from  $\sigma$ .
- whenever a new edge  $(\sigma, x, \tau)$  is added put it on the stack and try to trace from  $\sigma$  all cyclic perms of  $v \in V$  that begin with  $x$ .

# Algorithm : deduce!

- Input:
- $A$  - cast automaton
  - $W$  - set of cyclic perms of  $v \in U$
  - stack - stack of newly added edges

Output: •  $A$  - with deducible traces of  $w \in W$   
defined

```
begin
  while !isempty(stack)
    ( $\sigma, x, \tau$ ) = pop!(stack)
    {
      if find!(A.partition,  $\sigma$ ) =  $\sigma$ 
        for  $w \in W$ 
          if  $w[\text{begin}] = x$ 
            trace_and_reverse!( $A, w, \sigma$ ,
                                define=false)
          end
        end
      end
    }
  end
  return  $A$ 
end
```

*This needs to be repeated for  $(\tau, x^{-1})$*

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Algorithm: cost-enumeration-felsch  
Input:  $X, u, V$  // as previously  
Output:  $\mathcal{A}$  // as previously

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begin:

$W$  - the set of cyclic perms of  $v \in V$

stack = []

for  $u \in U$

trace-and-reverse!( $\mathcal{A}, u, \text{stack}$ )

deduce!( $\mathcal{A}, W, \text{stack}$ )

end

for  $\sigma$  in states( $\mathcal{A}$ )

for  $x$  in  $X$

if !hasedge( $\mathcal{A}, \sigma, x$ )

define!( $\mathcal{A}, \sigma, x, \text{stack}$ )

end

end

deduce!( $\mathcal{A}, W, \text{stack}$ )

end

return  $\mathcal{A}$

end

← this version passes stack to define!, join!, coincidence!

← a new version of define! that pushes  $(\sigma, x, \tau)$  into the stack

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Notes:

- define, join, coincidence must be modified to push added edges to stack
- stack is small (1 elt for define! and join!), but may explode in size after coincidence! → in such cases it's better to trace every element of  $V$  on each state  $\sigma \in \Sigma$ .

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